

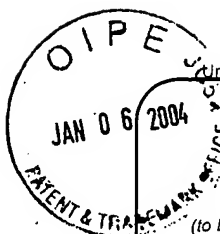
01-07-04

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/680,979	
	Filing Date	October 8, 2003	
	First Named Inventor	Choi et al.	
	Art Unit	TBA	
	Examiner Name	TBA	
Total Number of Pages in This Submission	26	Attorney Docket Number	098390-32594

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Post Card; PTO 1449
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael Dai, Reg. No. 47,512 Gibbons, Del Deo, Dolan, Griffinger & Vecchione		
Signature			
Date	January 6, 2004		

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Rosangela Medina		
Signature		Date	January 6, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

International Patent Application of :  
Choi et al. :  
Serial No. : 10/680,979 : Art Unit : TBA  
Filed : October 8, 2003 : Examiner : TBA  
For : Process for Preparing :  
O-Carbamoyl Compounds :  
in the Presence of Active :  
Amine Group :  
\_\_\_\_\_ :

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
under 37 CFR 1.97(b)**

Sir:

In accordance with 37 C.F.R. 1.97-1.99, applicants respectfully request that the following citations be made of record in the above-identified application:

<b><u>Published Patent Applications</u></b>	<b><u>Publication Date</u></b>	<b><u>Inventor(s)</u></b>
WO 02/060893	August, 8, 2002	Ellis <i>et al.</i>
US 20020103378	August 1, 2002	Ellis <i>et al.</i>
<b><u>United States Patents</u></b>	<b><u>Issuance Date</u></b>	<b><u>Inventor(s)</u></b>
4,294,832	October 13, 1981	Yoneda <i>et al.</i>
4,557,934	December 10, 1985	Cooper
5,552,550	September 3, 1996	Gerhard <i>et al.</i>

A copy of the published International Application is submitted herewith along with a completed form PTO-1449.

The listing of the citations on the form PTO-1449 submitted herewith does not constitute an admission that they are relevant or material to the claims submitted for examination in the above-identified patent application. Specifically, WO 02/060893 and US 20020103378 are directed to the method of carbamoylating sulfenyl alcohol. They do not disclose or suggest the carbamoylation of a special type of alcohols, i.e.

Serial No. TBA  
Art Unit: TBA

aminoalcohols, as in the current invention. The three U.S. Patents are submitted as further illustrations of the state of the art relating to the claimed invention.

***Fees***

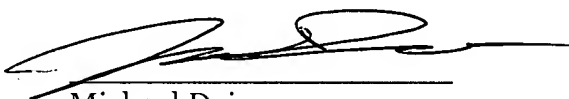
Pursuant to 37 C.F.R. §1.97(b), since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits and within three months of the filing date for the above application, no fees are believed to be necessitated by this Information Disclosure Statement. Should any fee be required, please charge the required fee to Deposit Account No. 03-3839.

Favorable consideration on the merits is respectfully requested.

Respectfully submitted.

Date: \_\_\_\_\_

*1/6/2004*



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Attorney for Applicants  
Registration No. 47,512

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/680,979
		Filing Date	October 8, 2003
		First Named Inventor	Choi et al.
		Art Unit	TBA
Examiner Name	TBA		
Attorney Docket Number	098390-32594		
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 20020103378	8-1-2002	Ellis et al.	
		US- 4,294,832	10-13-1981	Yoneda et al.	
		US- 4,557,934	12-10-1985	Cooper	
		US- 5,552,550	9-3-1996	Gerhard et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO02/060893	August 8, 2002	Ellis et al.		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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